

# RISK MANAGEMENT CONFERENCE 2018

Enhancing Risk Oversight:  
Risk Intelligence and Culture

29 August 2018 (Wednesday)  
Connexion Conference & Event Centre @The Vertical,  
Bangsar South, Kuala Lumpur



As the pace of change accelerates, business faces new risks and new disruptions every day. What's more, heightened expectations for better governance and accountability mean that companies must be ready to pre-empt and manage these risks.

This Conference equips management and boards as risk overseers with the latest knowledge and tools to build a robust risk culture and controls, tailored to your business model and context. Explore the risks and new developments emerging on the radar for 2018 and beyond, and gain insights into best practices and new thinking to manage and reduce your risks and vulnerabilities.

## OBJECTIVES

- Gain updates and discuss key risks faced by industries and finance professionals
- Network, share best practices, and get practical advice on managing risk and risk strategies
- Be equipped to lead your organisation in identifying and managing risk

## WHO SHOULD ATTEND

- Risk Management Officers
- Compliance Officers
- Advocates of Corporate Governance
- Directors
- Chief Financial Officers & Accountants
- Internal & External Auditors
- Financial Controllers & Analysts
- Audit Committee Members
- ISO Officers
- Integrity Officers
- Company Secretaries
- Professional Services Providers

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## CONFERENCE PROGRAMME

8:00 am	REGISTRATION & WELCOME REFRESHMENTS
9:00 am	<b>WELCOME ADDRESS</b>
9:15 am	<b>RISK MANAGEMENT TRENDS AND DEVELOPMENTS IN BIG DATA, RISE OF ARTIFICIAL INTELLIGENCE (PANEL SESSION)</b> Major developments such as proliferation of big data, artificial intelligence, and the emergence of cryptocurrencies are impacting business and posing unprecedented risks. Companies can leverage on the quality of data analytics and intelligence with risk management systems. This panel session debates the significant threats and emerging risk exposures that companies should weigh, in both the short-term and the long term. It will also cover emerging legislations that may expose corporations, businesses, and those charged with governance to pecuniary fines and custodial sentencing, e.g. the MACC Amendment Act 2018 which has a new Section 7 on Corporate Liability Provision. PANELLISTS • <b>YBHG DATO MERINA ABU TAHIR</b>   <i>Head, Group Internal Audit, Malaysia Airlines</i> MODERATOR • <b>DR NURMAZILAH DATO' MAHZAN</b>   <i>Chief Executive Officer, Malaysian Institute of Accountants</i>
10:30 am	MORNING REFRESHMENTS & NETWORKING
11:00 am	<b>THE ROLE OF WHISTLEBLOWING IN RISK MANAGEMENT (PANEL SESSION)</b> Although a coherent and precise whistleblowing policy is essential for good corporate governance and risk management, the awareness of whistleblowing remains low in Malaysia. Done well, establishing an internal whistleblowing system enables the organisation to monitor the risks being taken in secret corners and the associated hidden business impacts, while making employees feel heard, valued, and empowered. This session examines how the culture of whistleblowing can be encouraged by management and handled sensitively to become an important tool for risk management and morale. The importance of a whistleblowing protection charter, with the leaders setting the tone is imperative. What are the hindrances to acceptance by corporations on whistleblowing initiatives and common pitfalls to those who have established such mechanisms? PANELLISTS • <b>LIM JO YAN</b>   <i>Principal, Lim Jo Yan &amp; Co</i> MODERATOR • <b>DR MARK LOVATT</b>   <i>Chief Executive Officer, Trident Integrity Sdn Bhd</i>
12:15 pm	<b>EMBRACING RISK CULTURE AND ACCOUNTABILITY: ADD VALUES TO ORGANISATION</b> Get an honest reading on your organisation's risk culture in this session that guides you on how to cultivate risk management in work environments, embed the values of risk intelligence, and acquire techniques to improve your overall risk culture. This session will also discuss Guidance 9.1 of the Malaysian Code of Corporate Governance, where the Board should determine the Company's level of risk tolerance and actively identify, assess and monitor key business risks to safeguard shareholders' investments and the Company's assets. Also covered will be Guidance 9.2, whereby its disclosure includes a discussion on how key risk areas such as finance, operations, regulatory compliance and reputation were evaluated, and the controls in place to mitigate or manage those risks in line with internationally recognised risk management frameworks.
1:00 pm	LUNCH
2:00 pm	<b>MANAGING CYBER RISK AND THREATS (PANEL SESSION)</b> As regulators enforce ground-breaking new rules, compliance obligations will create unexpected challenges and risks for businesses. This panel debates how businesses can best navigate the changing landscape from the regulatory perspective to current threats and how to mitigate them. Also under discussion will be Guidelines on Management of Cyber Risk. PANELLISTS • <b>ANTHONY TAI YU KUN</b>   <i>Cyber Partner, Deloitte Malaysia</i>
3:15 pm	<b>MEASURING CONTROL EFFECTIVENESS IN FAST PACE ORGANISATION</b> Explore new thinking on how to use root cause analysis to correct for control failures and to enhance controls. Internal controls are important for risk management and the Board should be committed to articulating, implementing and reviewing the Company's internal control framework. Tools and techniques covered in this session include performing a risk assessment on threat mitigation, automated control testing, and establishing credible challenges against controls to measure the effectiveness and consistency of your organisation's controls. SPEAKER • <b>AIDA LIM ABDULLAH</b>   <i>Managing Director &amp; Founder, Corporate Streets Sdn Bhd</i>
4:00 pm	<b>KEY RISK AREAS IN MANAGING THIRD PARTY AND OUTSOURCING RISK: EVOLVING TO THE NEXT LEVEL</b> This session focuses on contract management as it impacts a variety of risks, especially reputation risk. Explore the KRIs (Key Risk Indicators) for third party and outsourcing risk, and the legal risks in these vendor relationships relating to data management controls. Finally, understand how to manage these third-party risks and outsource effectively using monitoring tools.
4.45 pm	AFTERNOON REFRESHMENTS
5.00 pm	END OF CONFERENCE

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## DISTINGUISHED CONFERENCE SPEAKERS



### AIDA LIM ABDULLAH

Aida Lim is Managing Director & Founder of Corporate Streets Sdn Bhd, and Director of Governance Advisory at the Malaysian Institute of Corporate Governance. She has 30 years experience with audit firms, financial institutions, GLCs and regulators such as Bursa Malaysia and Securities Commission Malaysia. Her in-depth financial and capital market experience include audit, banking, treasury, corporate finance, M&A, corporate reporting and investment analysis. At Bursa, her key functions included regulating conduct of public listed companies, promoting corporate governance, drafting of policies, listing requirement and capital market guidelines. She was also the team lead for several regulatory departments. Presently she has her own business in the Fintech space, while being a corporate trainer in governance, risk, internal control and compliance, training/speaking at seminars for professional bodies, companies and educational institutions. Aida holds a BA(Hons) Accounting & Finance (major in Law) London, CFP (US), CSI (Singapore), Diploma In Psychology and Learning Challenges (UK), Diploma In Language Development (UK).



### ANTHONY TAI

Anthony Tai is the Risk Advisory Partner at Deloitte Malaysia, providing operational risk, cyber risk, financial risk and regulatory risk advisory services to clients. He is also the Chief Operating Officer for Deloitte's SEA Risk Advisory business, overseeing daily operations and executes the strategic plans of SEA business. Possessing extensive experience in Financial Services, he is also currently serving as Deloitte Malaysia's Financial Services Industry lead. He provides the strategic direction and leadership for the firm's various businesses—Audit, Tax, Legal, Financial Advisory and Consulting, and is also the Health and Safety Officer for Deloitte Malaysia. Prior to Deloitte, he was lead engagement manager for IT and computer assurance audits at PwC. Anthony holds a Bachelor of Business in Finance and Accounting from University of Technology, Sydney.



### LIM JO YAN

Lim Jo Yan is the principal of Lim Jo Yan & Co. He graduated from Oxford University, and was admitted as an Advocate and Solicitor of the High Court of Malaya in 2005. He practised as a litigator before becoming an advisory and transactional lawyer, acting for a wide range of clients from various industries. He advises on mergers & acquisitions, cross-border transactions and joint ventures. His portfolio of corporate work includes advising on corporate governance, regulatory compliance, employment, and corporate and commercial matters. He is particularly interested in private equity, venture capital, fintech and crowdfunding. Jo Yan regularly delivers talks and conducts workshops for the corporate sector. He is also an active speaker and mentor in the fintech and equity crowdfunding community. He is passionate about finding solutions to legal issues. His work is recognised by the Legal 500, IFLR1000, AsiaLaw Profiles and Asian Legal Business in the areas of Corporate and M&A. He was also named as the Young Lawyer of the Year at the ALB Malaysia Law Awards 2016.



### DR MARK LOVATT

Dr Mark Lovatt is Chief Executive Officer of Trident Integrity Solutions Sdn Bhd, and is widely considered a thought leader in the area of business integrity and ethics. Prior to leading Trident, Dr Lovatt established the Business Integrity Programme Manager for Transparency International Malaysia, developing the programme to become the regional best-practice centre for TI. One of the few PECB-certified Lead Implementers for ISO 37001, he is an internationally recognised expert in private sector corruption, speaking at conferences across the region, and has worked with governments, global agencies, multinationals and GLCs to deliver effective measures for building business integrity. Dr Lovatt holds a BA (Hons) and PhD from Nottingham University, UK, and has a background in IT, corporate regulation and business development in the power & gas sector.



### DATO' MERINA ABU TAHIR

Dato' Merina Abu Tahir is Head of Group Internal Audit, at Malaysia Airlines. She has 30 years of working experience in multinationals, financial institutions and audit practice. She has worked in England and the Middle East, with regional responsibilities covering Africa and South America. Her roles covered diverse senior level management responsibilities in general management, commercial, finance, business development, information technology, human resource and internal & external audit. Merina is a Fellow of the Association of Chartered Certified Accountants (ACCA), member of the Malaysian Institute of Accountants (MIA), Professional member of the Institute of Internal Auditors Malaysia (IIAM) and a Member of the ASEAN Chartered Professional Accountant (ASEAN CPA). She is President of the ACCA Malaysia Advisory Committee, and Executive Committee member of the International Association of Airline Internal Auditors. She is in the MIA Council and is a member of MIA's Executive Committee, Professional Accountants in Business Committee, Education Committee, MIA-MQA Technical Joint Committee and Monitoring Committee (for ASEAN MRA).



### DR. NURMALIZAH DATO' MAHZAN

Dr Nurmalizah is the Chief Executive Officer of MIA, having previously served as Deputy CEO. Prior to MIA, she was Director of the University Malaya Graduate School of Business. She started her career with Arthur Andersen, and later joined KUB Malaysia Bhd as Group Accounts Manager. Her corporate experience covers various industries including property development and construction, banking, unit trusts, trading and manufacturing. She had been an MIA Council Member, and is a Member of MASB, elected member of Board of Governors of IIA Malaysia, Council member of MICPA, and Honorary Treasurer with Persatuan Ekonomi Malaysia. Dr Nurmalizah also served in various committees of MIA and MICPA. She holds a PhD in accounting from University of Birmingham, UK.

**PARTICIPANTS' DETAILS**

**Participant 1** Full name as per I/C (Dato' / Datin / Dr / Mr / Mrs / Ms):  Vegetarian Meal

Designation: Email:

Member / Member Firm  Non-member Membership No.:

**Participant 2** Full name as per I/C (Dato' / Datin / Dr / Mr / Mrs / Ms):  Vegetarian Meal

Designation: Email:

Member / Member Firm  Non-member Membership No.:

**Participant 3** Full name as per I/C (Dato' / Datin / Dr / Mr / Mrs / Ms):  Vegetarian Meal

Designation: Email:

Member / Member Firm  Non-member Membership No.:

**ORGANISATION'S DETAILS**

Organisation:

Industry: Contact Person:

Address:

Email: Tel: Fax:

GST ID No.:

(Applicable for Companies/Individuals registered with the Royal Malaysian Customs Department) Signature & Company Stamp:

**PAYMENT DETAILS**

**Payment by Cheque**

Bank & Cheque No.: Amount RM:

**Payment by Credit Card**

Visa  Master

Cardholder's Name:

Card No.: Expiry Date:

I Authorise Payment of RM:

Cardholder's Signature: Date:

**TERMS & CONDITIONS**

**CONFERENCE FEE**

- Fee is payable to **MALAYSIAN INSTITUTE OF ACCOUNTANTS**
- Fee includes course materials, lunch and 2 tea breaks per day.
- Full payment of the above amount shall be made within thirty (30) days from the date of the Payment Advice or on the day of the event, whichever earlier.
- Admittance may be denied upon failure to make full payment as per the above requirement.

**CANCELLATION/TRANSFER**

Upon registering, participant(s) are considered successfully enrolled in the event. Should participant(s) decide to cancel/transfer their enrolment, a cancellation/transfer policy shall be applied as follows.

a. Written cancellation/transfer received less than seven (7) days from the date of the event:

- A refund (less administrative charge of 20%) will be made
- Unpaid registrations will also be liable for 20% administrative charges

b. Written cancellation/no show on the day of the programme:

- No refund will be entertained
- Unpaid registrations will also be liable for full payment of the registration fee
- Partial cancellation is not allowed

You can substitute an alternate participant if you wish to avoid cancellation/transfer charges. Any difference in fees will be charged accordingly.

**\*Please select the participant classification carefully as it determines the fee payable. No alteration will be allowed after the registration is accepted. Terms and conditions apply.**

**CERTIFICATE OF ATTENDANCE AND CPE HOURS**

- Upon full attendance of the programme, participants will be issued an "E-certificate". Participants will receive an email with a download link and are required to download the e-certificate within 30 days. For this purpose, it is **COMPULSORY** to fill in the email address clearly.
- For MIA members, the CPE hours will be credited into the Membership System within 2 weeks of the event.
- Participants will only be entitled to the CPE credit hours upon attending the entire duration of the programme. **CPE credit hours will not be accorded for partial attendance.**

**DATA PROTECTION**

Personal Data is gathered in accordance with the Personal Data Protection Act 2010 (Act 709).

**DISCLAIMER**

Malaysian Institute of Accountants (MIA) reserves the right to change the speaker(s), date(s) and to cancel the programme should circumstances beyond its control arise. MIA shall not be responsible for any costs, damages or losses incurred by the participant due to the changes and/or cancellation. MIA also reserves the right to make alternative arrangements without prior notice should it be necessary to do so. Upon signing the registration form, you are deemed to have read and accepted the terms and conditions herein.

**CONFERENCE FEES**

Member / Member Firm | RM 690  
Non-member | RM 890

\*For members, please tick where applicable:

Participant			Association
1	2	3	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MIA member
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	ACCA member
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CIMA member
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MIBA member
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MICG member
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	FPLC member
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Boardroom Clients/Associates

**CONFERENCE DETAILS & REGISTRATION**

29 August 2018 (Wednesday),  
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@The Vertical, Bangsar South, Kuala Lumpur

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47800 Petaling Jaya  
Selangor Darul Ehsan

**IMPORTANT NOTES:**

Registration is on a first-come-first-served basis.  
Only fully completed registration form will be processed.